



SHRI GNANAMBICA DEGREE COLLEGE MADANAPALLI
(AUTONOMOUS)

SEMESTER-III

FINANCIAL MARKETS-MINOR

(W.E.F.2024-2025)

PROGRAM – BCA/BCA-AI/BCOM (CA)



Theory **Credits: 4** **3 hrs/week**

Learning Objectives:

The course provides a complete knowledge of finance and financial markets related subjects so that the students are well equipped with the finance markets and capital markets in our country.

Learning Outcomes:

After successful completion of this course, the students will be able to; Acquire knowledge of financial terms and know the concepts relating to and markets and different avenues of investment. Understand the career skills related to Stock Exchanges and comprehend the personal financial planning and money market skills

Unit I: Financial Markets

Financial Instruments – Intermediaries –Services- Structure of Financial Market in India.

Unit II: Capital Market

Role, Evolution in India – Future Trends – Primary Market – Issue of Capital: Process, Methods of Issue, Book-building.

Unit III: Secondary Market

Growth, Development, Regulation – Stock Exchange Mechanism: Trading, Settlement – Insider Trading, Price Rigging.

Unit IV: Players on Stock Exchange

Investors, Speculators, Market Makers – Bulls, Bears, Statics, Stock Exchange Regulations – Stock Indices – Regulatory Agencies (SEBI).



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Unit V: Bond Market in India

Bond Market and its Interface with Equity Market and Debt Market.

Activities:

- Collection and study of pamphlets, application forms etc.
- Invited lectures on the field topics by local experts
- Introducing online classes from NSE
- Field visit to mutual fund offices/share brokers
- Observation, study and analysis of selected companies share prices
- Assignments, Group discussion, quiz etc.

Reference Books:

1. Gupta, L.C: Stock Exchange Trading in India; Society for Capital Market Research and Development, Delhi.
2. Bhole, I.M., Financial Institutions and Market, Tata McGraw Hill.
3. Vasant Desai, Indian Financial System, Himalaya Publishing House.
4. Pathak, Bharati V., Indian Financial System: Markets, Institutions and Services, Pearson Education (Singapore), New Delhi.
5. Gordon E. & K. Natarajan, "Financial Markets and Services", Himalaya Publishing House, New Delhi.

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Program: BCA/BCA-AI/BCOM (CA)

Question Paper Blue Print

TIME: 3hrs

Max Marks : 70

SECTION -A

(4X5=20)

Answer any FOUR of the following

- 1.
- 2.
- 3.
- 4.
- 5.
- 6.
- 7.
- 8.

SECTION -B

Answer any FIVE of the following

(5X10=50)

- 9.
- 10.
- 11.
- 12.
- 13.
- 14.
- 15.
- 16.
- 17.
- 18.

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FINANCIAL MARKETS- MINOR

SEMESTER III

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Model Question Paper

TIME: 3hrs

Max Marks : 70

SECTION -A

(4X5=20)

Answer any FOUR of the following

1. Name two financial market intermediaries.
2. What are the main components of a financial market?
3. What is the capital market?
4. Mention two methods of issuing capital.
5. What is the secondary market?
6. Define price rigging.
7. Mention two regulatory agencies in the stock market.
8. What is the difference between debt and equity markets?

SECTION -B

Answer any FIVE of the following

(5X10=50)

9. Describe the structure of the financial market in India.
10. Analyze the functions and importance of financial markets in economic development.
11. Define online advertising and explain its objectives.
12. Explain the process of issuing capital in the primary market.
13. What are the regulations governing the secondary market?
14. Describe the issues of insider trading and price rigging with examples.
15. Explain the roles of various players on the stock exchange.
16. Discuss the role and regulation of stock indices in India.
17. Compare and contrast the equity market and debt market.
18. Describe the instruments and participants of the Indian bond market.

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